W-algebras, moduli of sheaves on surfaces, and AGT

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- In the pure gauge theory, the mathematical connection was established by Maulik-Okounkov and Schiffmann-Vasserot,
- ▶ who defined an action of the W-algebra on the cohomology group H of the moduli space M of sheaves/instantons on A².
- ► Then the Nekrasov partition function is (1,1), where 1 is the unit cohomology class, which one can uniquely determine based on how the W-algebra acts on it (the Gaiotto state).

Things are even more interesting in the presence of matter. Mathematically, matter in the bifundamental representation:

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- We will prove this by q-deforming everything, redefining the W-algebra action, and proving that A_m "commutes" with it.
- ► Since our construction is purely geometric, it makes sense for sheaves on surfaces S more general than the affine plane A²

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- ▶ Replacing the cohomology of *M* by its algebraic *K*-theory:

$$H \rightsquigarrow K = K_{\text{equiv}}(\mathcal{M}) = \bigoplus_{n=0}^{\infty} K_{\text{equiv}}(\mathcal{M}_n)$$

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▶ Replacing Lie algebras by quantum groups, e.g. $\mathfrak{g} \rightsquigarrow U_q(\mathfrak{g})$ or:

$$\mathsf{Heisenberg} \rightsquigarrow {}_{q}\mathsf{Heisenberg} = \frac{\mathbb{Z}[q_{1}^{\pm 1}, q_{2}^{\pm 1}] \langle a_{n} \rangle_{n \in \mathbb{Z} \setminus 0}}{[a_{-m}, a_{n}] = \delta_{m}^{n} \frac{n(1-q_{1}^{n})(1-q_{2}^{n})(1-q^{m})}{1-q^{n}}}$$

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► More generally, we will consider the deformed W-algebra of Feigin-Frenkel and Awata-Kubo-Odake-Shiraishi. In type gl₁, this algebra is _qHeisenberg, while for sl₂, it deforms Virasoro.

The deformed W-algebra

• The original definition of the $_qW$ -algebra is via free fields:

$${}_{q}\mathcal{W}_{r} \subset \mathcal{H}_{r} = rac{\mathbb{Z}[q_{1}^{\pm 1}, q_{2}^{\pm 1}] \langle b_{n}^{(i)}
angle_{n \in \mathbb{Z} \setminus 0}^{1 \leq i \leq r}}{[b_{-n}^{(i)}, b_{m}^{(j)}] = \delta_{m}^{n} n(1 - q_{1}^{n})(1 - q_{2}^{n})(1 - \delta_{i \neq j} q^{-n\delta_{i < j}})}$$

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• $_{q}W_{r}$ is defined as the subalgebra generated by $W_{d,k}$ given by:

$$W_k(x) = \sum_{1 \le s_1 \le \dots \le s_k \le r} : \prod_{i=1}^k u_{s_i} \exp\left[\sum_{n=1}^\infty \frac{b_{-n}^{(s_i)}}{nx^{-n}}\right] \exp\left[\sum_{n=1}^\infty \frac{b_n^{(s_i)}}{nx^n}\right]$$

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► More intrinsically, _qW_r can be described as the algebra generated by symbols W_{d,k} modulo relations such as:

$$W_{k}(x)W_{1}(y)\zeta\left(\frac{x}{yq^{k}}\right) - W_{1}(y)W_{k}(x)\zeta\left(\frac{y}{xq}\right) =$$

$$= \frac{(q_{1}-1)(q_{2}-1)}{q-1} \left[\delta\left(\frac{x}{yq^{k}}\right)W_{k+1}(x) - \delta\left(\frac{y}{xq}\right)W_{k+1}(y)\right]$$

▶ Let $u_1, ..., u_r \in \mathbb{C}$. Define the Verma module of $_qW_r$ as:

$$M = {}_q \mathcal{W}_r \Big/ \mathsf{right}$$
 ideal $(W_{d,k}, W_{0,k} - e_k(u_1, ..., u_r))_{1 \leq k \leq r}^{d > 0}$

and similarly define M' with the parameters u_i replaced by u'_i .

• Let
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▶ For $m \in \mathbb{C}$, the vertex operator will almost be an intertwiner:

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▶ as it isn't required to commute with $_{q}W_{r}$ on the nose. Instead:

$$[\Phi_m, W_k(x)]_{m^k} \cdot \left(1 - \frac{m^r}{q^{r-k}x} \frac{u_1 \dots u_r}{u'_1 \dots u'_r}\right) = 0$$
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for all $k \ge 1$, where $[A, B]_s = AB - sBA$.

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for all $k \ge 1$, where $[A, B]_s = AB - sBA$.

Lemma: the endomorphism Φ_m is uniquely determined, up to constant multiple, by property (1).

• **Theorem (N)** There exists an action $_qW_r \curvearrowright K$, for which the latter is isomorphic to the Verma module M.

Moreover, the Ext operator $A_m : K' \to K$ is equal to the vertex operator $\Phi_m : M' \to M$, up to a simple exponential.

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• We define the action of
$$W(x, y) = \sum_{d \in \mathbb{Z}}^{k>0} \frac{W_{d,k}}{x^d(-y)^k}$$
 on K as:
 $W(x, yD_x) = L(x, yD_x) \cdot E(y) \cdot U(x, yD_x)$
where D_x is the difference operator $f(x) \rightsquigarrow f(xq)$

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and L, E, U are geometric endomorphisms of K that are lower triangular, diagonal, and upper triangular, respectively.

The moduli space of sheaves

► Explicitly, our *M* is the moduli space of framed sheaves:

$$\left(\mathcal{F} \text{ torsion-free sheaf on } \mathbb{P}^2, \ \mathcal{F}|_{\infty} \stackrel{\phi}{\cong} \mathcal{O}_{\infty}^{\oplus r}\right)$$

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There is an action of T = C^{*} × C^{*} × (C^{*})^r on M, where the first two factors act on P² and the third factor acts on φ.

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- ▶ Let *K* denote the equivariant *K*-theory of *M*, whose elements are formal differences of *T*-equivariant vector bundles on *M*.
- Since vector bundles can be tensored with *T*−representations, *K* is a module over the ring Z[q₁^{±1}, q₂^{±1}, u₁^{±1}, ..., u_r^{±1}].

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• An *r*-partition will be a collection of *r* usual partitions: $\lambda = (\lambda^{(1)}, ..., \lambda^{(r)})$ where $\lambda^{(k)} = (\lambda_1^{(k)} \ge \lambda_2^{(k)} \ge ...)$ and we will write $|\lambda|$ for the sum of the sizes of the $\lambda^{(k)}$.

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- ► *T*-fixed points of \mathcal{M} are indexed by *r*-partitions, specifically: $\mathcal{F}_{\lambda} = I_{\lambda^{(1)}} \oplus ... \oplus I_{\lambda^{(r)}}$

where $I_{\lambda} \subset \mathbb{C}[x, y]$ is the monomial ideal of "shape" λ .

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Given a box □ at coordinates (i, j) in the Young diagram of the constituent partition λ^(k) of an r-partition λ, we call:

$$z_{\Box}=u_kq_1^iq_2^j$$
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the weight of [].

The operator E(y) is multiplication by the exterior algebra of the universal sheaf, and therefore its matrix coefficients are:

$$\langle \boldsymbol{\lambda} | \boldsymbol{E}(\boldsymbol{y}) | \boldsymbol{\mu} \rangle = \delta_{\boldsymbol{\lambda}}^{\boldsymbol{\mu}} \prod_{i=1}^{r} \left(1 - \frac{u_{i}}{y} \right) \prod_{\Box \in \boldsymbol{\lambda}} \zeta \left(\frac{z_{\Box}}{y} \right)$$

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For any $d \ge 1$, let us consider the following locus:

$$\mathfrak{Z}_d = \{\mathcal{F}_0 \supset ... \supset \mathcal{F}_d \text{ sheaves}, x \in \mathbb{A}^2, \text{ s.t. } \mathcal{F}_{k-1}/\mathcal{F}_k \cong \mathcal{O}_x \, \forall k \}$$

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► This space comes endowed with maps π^(d)₋, π^(d)₊ : 3_d → M that remember only F₀ and F_d, respectively,

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- ► This space comes endowed with maps $\pi_{-}^{(d)}, \pi_{+}^{(d)} : \mathfrak{Z}_{d} \to \mathcal{M}$ that remember only \mathcal{F}_{0} and \mathcal{F}_{d} , respectively,
- ▶ and with line bundles L₁, ..., L_d on 3_d that keep track of the length one quotients F₀/F₁, ..., F_{d-1}/F_d.

The operators

• L(x, y) and U(x, y) are adjoint, so let's focus on the first one:

$$L(x,y) := \sum_{d=0}^{\infty} \pi_{+*}^{(d)} \left(\frac{x^d}{1 - \frac{y}{\mathcal{L}_1}} \cdot \pi_{-}^{(d)*} \right) : \ \mathcal{K} \to \mathcal{K}[[x, y^{-1}]]$$

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• Therefore, the matrix coefficients of L(x, y) are given by:

$$\langle \boldsymbol{\lambda} | \boldsymbol{L}(\boldsymbol{x}, \boldsymbol{y}) | \boldsymbol{\mu} \rangle = \sum_{\text{tableau of shape } \boldsymbol{\lambda} \setminus \boldsymbol{\mu}}^{T \text{ a standard Young}} \frac{\chi^{|\boldsymbol{\lambda} \setminus \boldsymbol{\mu}|}}{\left(1 - \frac{\boldsymbol{y}}{z_1}\right) \prod_{i=1}^{|\boldsymbol{\lambda} \setminus \boldsymbol{\mu}| - 1} \left(1 - \frac{qz_i}{z_{i+1}}\right)} \\ \prod_{1 \leq i < j \leq |\boldsymbol{\lambda} \setminus \boldsymbol{\mu}|} \zeta \left(\frac{z_j}{z_i}\right) \prod_{i=1}^{|\boldsymbol{\lambda} \setminus \boldsymbol{\mu}|} \left[\prod_{\square \in \boldsymbol{\mu}} \zeta \left(\frac{z_i}{z_{\square}}\right) \prod_{j=1}^r \left(1 - \frac{z_i q}{u_j}\right) \right]$$

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$$L(x,y) := \sum_{d=0}^{\infty} \pi_{+*}^{(d)} \left(\frac{x^d}{1 - \frac{y}{\mathcal{L}_1}} \cdot \pi_{-}^{(d)*} \right) : \ \mathcal{K} \to \mathcal{K}[[x, y^{-1}]]$$

• Therefore, the matrix coefficients of L(x, y) are given by:

$$\begin{split} \langle \boldsymbol{\lambda} | \boldsymbol{L}(\boldsymbol{x}, \boldsymbol{y}) | \boldsymbol{\mu} \rangle &= \sum_{\text{tableau of shape } \boldsymbol{\lambda} \setminus \boldsymbol{\mu}}^{T \text{ a standard Young}} \frac{\boldsymbol{X}^{|\boldsymbol{\lambda} \setminus \boldsymbol{\mu}|}}{\left(1 - \frac{\boldsymbol{y}}{z_1}\right) \prod_{i=1}^{|\boldsymbol{\lambda} \setminus \boldsymbol{\mu}| - 1} \left(1 - \frac{qz_i}{z_{i+1}}\right)} \\ &\prod_{1 \leq i < j \leq |\boldsymbol{\lambda} \setminus \boldsymbol{\mu}|} \zeta \left(\frac{z_j}{z_i}\right) \prod_{i=1}^{|\boldsymbol{\lambda} \setminus \boldsymbol{\mu}|} \left[\prod_{\square \in \boldsymbol{\mu}} \zeta \left(\frac{z_i}{z_{\square}}\right) \prod_{j=1}^{r} \left(1 - \frac{z_i q}{u_j}\right)\right] \\ \text{where we recall that a standard Young tableau is a labeling of} \end{split}$$

where we recall that a standard Young tableau is a labeling of the boxes of λ\µ with the numbers 1, 2, ... such that the numbers increase as we go up and to the right. Also z_i = z_{□i}.

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- ► To be completely precise, the generators W_{d,k} ∈ _qW_r will give rise not to endomorphisms of K_M, but to maps K_M → K_{M×S}
- ► Finally, the parameter *m* that defines the Ext bundle and the operator A_m, will now be a K-theory class on S.